

Shuet Loke

Principal

sloke@techpargroup.com

CISA, Certified Scrum Master, Cert. SAFe5, AWS Cert. Cloud Practitioner

Internal Audit – IT Risk – IT Audit – Data Governance – Regulatory Compliance

Ms. Loke is a results-driven IT Risk Management and Controls professional with a stellar reputation for attention to detail and delivering results that meet or exceed client expectations. Demonstrated track record of accomplishment in 'Big 4' management consulting and global financial services companies in IT Risk and Controls, Data and IT Governance, Regulatory and Compliance, Internal / External Technology Audit, Portfolio / Program Management, and Systems Implementation. Utilizes excellent interpersonal communication skills to lead cross functional, cross geographical and multi-cultural technology and business teams to deliver large scale, complex enterprise-wide initiatives aligned with business strategy and goals. Highly effective in:

- Business Process Improvement
- IT and Data Governance
- Risk Assessment/Controls
- Risk Identification/Mitigation
- Issue Resolution/Escalation
- External IT Audit, Soc I, II
- Internal Audit
- Security / Penetration Testing
- Strategic Planning
- Critical Thinking
- Problem Solving
- Communication Skills
- Presentation Skills
- Regulatory/Compliance
- Financial Services/Insurance
- As-is/to-be Workflow Analysis
- Business Requirements
- Agile and Waterfall Methodology
- Systems Implementation
- LEAN, Agile, Scrum
- Program Management

HIGHLIGHTED CAREER ACHIEVEMENTS

- Implemented a firmwide Lean-Agile software development standard including software delivery risks and controls governance (Moody's Analytics).
- Built an operational structure, engagement best practices and controls for a new Professional Services group delivering IoT software solutions to the business units (Honeywell).
- Reversed previous fines and penalties levied by a Workers Compensation regulator with an automated filing process resulting in timely and improved data quality submissions (AIG).
- Automated the Sarbanes Oxley Application Recertification process and transferred the function inhouse from consultants resulting in \$500K annual savings (AIG).
- Implemented Data Management processes and artifacts in the company's Systems Development Life Cycle standards to meet Federal Regulators and Board requirements (AIG).
- Exceeded DB Management Board's expectations to reduce 98 Electronic Communication Systems to 10 in 9 months and ahead of schedule (Deutsche Bank).
- Stood up an infrastructure for hosting Solvency II, Basel II and Economic Capital management software implementation (AIG).

EMPLOYMENT SUMMARY

Moody's Analytics	2018 - 2020
Honeywell International	2017 - 2018
Deutsche Bank	2016 - 2016
AIG	2002 - 2016
IXL	2000 - 2001